

45370



DH/RL

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Hung-Kee Kim et al.

Serial No.: 10/611,425

Filed: July 2, 2003

For: ADAPTIVE TRANSMIT ANTENNA
DIVERSITY APPARATUS AND
METHOD IN A MOBILE
COMMUNICATION SYSTEM

Group Art Unit: 2611

Examiner: Not Assigned

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97-1.98, Applicants hereby make of record the documents listed in the attached Forms PTO/SB/08a and PTO/SB/08b, which may be deemed material to the examination of the above-identified application.

In accordance with 37 C.F.R. §1.98(a), copies of any U.S. patents and published applications cited herein have been omitted.

Also, since the present Information Disclosure Statement is being filed before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, and each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office

in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement, no fee under 37 C.F.R. § 1.17(p) is required.

These documents were cited in a Search/Examination Report with respect to an International Application related to the Applicants' above-identified U.S. Patent Application. For the Examiner's convenience, a copy of the Search/Examination Report is attached.

The Examiner is requested to consider the listed documents in connection with the above-identified application and to return a copy of Forms PTO/SB08a and PTO/SB/08b to the Applicants with the Examiner's initials in the spaces provided.

Submission of this Information Disclosure Statement does not constitute an admission by the Applicants as to the materiality of the listed documents to the application, nor do the Applicants waive any right to challenge the validity of the documents as prior art should such action be deemed appropriate.

Respectfully submitted,



Paul H Nguyen-Ba
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Dated: August 15, 2007



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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

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Complete if Known

Application Number	10/611,425
Filing Date	July 2, 2003
First Named Inventor	Hung-Kee Kim et al.
Art Unit	2611
Examiner Name	Not Assigned
Attorney Docket Number	45370

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		EP 1 204 219 A2	5/8/2002	EUROPE		✓
		WO 02/11315 A3	2/7/2002	WIPO		✓
		EP 1 073 212 A2	1/31/2001	EUROPE		✓

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.¹ Applicant's unique citation designation number (optional).² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	10/611,425
(Use as many sheets as necessary)		Filing Date	July 2, 2003
		First Named Inventor	Hung-Kee Kim et al.
		Art Unit	2611
		Examiner Name	Hung-Kee Kim et al.
Sheet		Attorney Docket Number	45370

NON-PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
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1 Applicant's unique citation designation number (optional). **2** Applicant is to place a check mark here if English language Translation is attached.

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